Request for Proposals

Support research and recommendations on issuance of inter-connected APs and the early implementation in 10 provinces/ministries; assess and propose solutions to simplify the implementation of APs related to criminal records in business operations

Issue Date:	October 25, 2022
Closing Date for Proposals:	November 15, 2022
Closing Time:	5:30 PM Hanoi Time
Bid Reference Number:	LINKSME-RFP-15-2022
	Support research and recommendations on issuance of inter-connected APs and the early implementation in 10 provinces/ ministries; assess and propose solutions to simplify the implementation of APs related to criminal records in business operations
Activity Number:	C1-2022-05&07
	Administrative Procedure Control Agency (APCA), Office of the Government

1. Disclaimer

The information contained in this request for proposals (RFP) is provided to Offeror(s) by the International Executive Service Corps (IESC) for the USAID Linkages for Small and Medium Enterprises (LinkSME) project.

The purpose of this RFP is to provide potential Offerors with information to assist them in the preparation of their proposals for the services that IESC seeks to source. This RFP does not claim to contain all the information each potential Offeror may require. Each potential Offeror should conduct its own assessment and should check the accuracy, reliability, and completeness of the information in this RFP, and where necessary, obtain independent advice from appropriate sources.

IESC may cancel this RFP and is under no obligation to make an award as a result of this RFP, although IESC fully anticipates doing so.

Note that engagement of the selected Offeror may be subject to the approval of the United States Agency for International Development (USAID). Any activities under a final award are subject to and shall be carried out in accordance with the regulations

promulgated by USAID, the Federal Acquisition Regulations (FAR), and any other subsequently published rule or regulation governing USAID programs. Please see the attached flow-down clauses that will be incorporated into the final award.

IESC may, at its own discretion, but without being under any obligation to do so, update, amend, or supplement the information in this RFP.

Interested offerors are responsible for all costs associated with the preparation and submission of proposals and will not be reimbursed by IESC.

IESC, at its own discretion, may award a company to conduct only a part of the activities in the RFP.

2. Background

IESC is a U.S.-based nonprofit organization operating in Vietnam pursuant to an operating license issued by the People's Aid Coordinating Committee. It implements the USAID Linkages for Small and Medium Enterprises (USAID LinkSME) project under a contract with USAID. Click <u>here</u> for more information.

The USAID LinkSME project supports the Government of Vietnam (GVN) in its efforts to develop, adopt, and implement policy and regulatory reforms to provide a more favorable environment for small and medium enterprises (SMEs). The lead agency for the project's work on enabling the business environment is the Administrative Procedures Control Agency (APCA) under the Office of the Government (OOG). USAID LinkSME also works with business support organizations (BSOs) and the GVN to improve the performance of SMEs and integrate them into lead firm supply chains. The lead agency for the project's work with BSOs and SMEs is the Agency for Enterprise Development (AED) under the Ministry of Planning and Investment (MPI).

Administrative reform is among the current priorities of the Government of Vietnam. One of the solutions to administrative procedure (AP) reform is to combine inter-connected APs into an integrated AP to cover different individual procedures in a single dossier submitted one time by citizens and businesses. Under Decree 61/2018/ND-CP on the one-stop-shop (OSS) and inter-agency OSS mechanism, the OOG is responsible for proposing the APs for inclusion in a decision that would be issued by the Prime Minister on annual reform efforts. So far, many APs have been integrated; for instance, the integrated AP for required payment of social insurance, health insurance, and unemployment insurance and report on the use of labor¹. However, many other APs could be integrated to reduce unnecessary costs for citizens and businesses.

The USAID LinkSME project has been supporting APCA in studying and recommending reforms in APs and the OSS mechanism. Therefore, USAID LinkSME is in a good position to continue providing technical assistance to APCA to develop a methodology and recommendations on database and indicators for the evaluation and integration of

¹ National public service portal https://dichvucong.gov.vn/p/home/dvc-tthc-thu-tuc-hanh-chinh-lien-thong.html.

relevant APs, as well as support early implementation of the approved integrated APs at selected ministries and provinces.

During the review of inter-connected APs, the project will also support the focused review of APs that require judicial records in business operations and propose recommendations for simplification. Many APs that involve judicial records require procedures that are conducted separately at different levels of judicial agencies. As a result, businesses and citizens spend time and money to complete this procedure due to the verification being conducted at different localities and agencies. The Project's support in reviewing these APs will also be a part of a pilot process to apply the review methodology and guidance developed under this activity.

USAID LinkSME's technical assistance will support APCA to study and submit a list of APs to the Prime Minister for approval of inter-connected APs in quarter 2 of 2023 and reduce unnecessary cost for the businesses.

The USAID LinkSME project's Business Enabling Environment (BEE) Team will oversee this activity.

3. Scope Statement

IESC is seeking one qualified Vietnamese company or duly registered organization to provide technical assistance to APCA/OOG to develop a methodology and recommendations on database and indicators for evaluation and integration of relevant APs, as well as support early implementation of the approved integrated APs at selected ministries and provinces.

The proposal must be valid for one-hundred and twenty (120) days from the date of submission.

4. Subcontract Objectives

The objectives of this activity are to assist APCA to develop guidelines for the review and selection methodology of inter-connected APs for reengineering and integration; to recommend relevant inter-connected APs for the Prime Minister's Decision on reforming inter-connected APs in 2023; and to support the implementation of the approved integrated APs at 10 ministries and provinces. At the same time, this activity will support APCA to develop options for simplifying APs with judicial records requirements. USAID LinkSME's technical assistance will contribute to the Prime Minister's Decision for approval of inter-connected APs in quarter 2 of 2023 and reduce unnecessary cost for businesses.

5. Period of Performance

The estimated period of performance is from contract signing to June 30, 2023.

6. Statement of Work

6.1 Contract Oversight

The selected Offeror shall work in closed collaboration and report to a Technical Manager within the BEE Team (to be assigned). The Technical Manager will review contract deliverables and invoices and give direction to the selected Offeror in the performance of their work. Additional contractual oversight and final approval of deliverables will be provided by the BEE Team Leader. The selected Offeror will be required to submit workplans and draft reports for ongoing evaluation and guidance by USAID LinkSME quality assurance personnel prior to submission of final deliverables.

6.2 Activities

The selected Offeror is expected to undertake following tasks in close cooperation with APCA and USAID LinkSME:

No.	Activities	Timeline
1	 Develop a handbook that contains detailed guidance on the review and recommendation of APs for integration and simplification. This activity will include, but not be limited to, the following sub-activities: Develop an outline of the handbook and seek comments from APCA and USAID LinkSME. Review current practices of reviewing and simplifying APs, especially inter-connected APs. Review public services delivery, the volume of the inter-connected APs, and the main mode of delivery (online/offline). Develop indicators to assess the potential for integration of APs. Develop a set of Excel templates to collect data for the assessment of AP integration. Collect data and demonstrate/describe how integrated APs can help reduce the cost and time of public services. Develop a draft handbook with the above contents. Organize online or on-site consultation meetings/workshops to seek comments and feedback from APCA, USAID LinkSME and relevant stakeholders. 	Jan Feb 2023

,		1
2	 Conduct three (03) training courses for ministries and provinces on the methodology to review and select APs for integration once the above-mentioned guidebook is finalized and adopted. This activity will include, but not be limited to, the following key sub-activities: Develop training materials, including presentation slides and get approval from APCA and USAID LinkSME. Develop a short online survey to assess trainees' understanding of the training materials before the training. Revise the training materials if necessary based on the online survey results. Work with APCA and USAID LinkSME to develop training programs including tentative agenda, allocated time and trainers. Deliver the training courses at the time and location as requested by APCA and USAID LinkSME. 	FebJune 2023
3	 Develop a report on the review and recommendation of 8-10 AP groups to be integrated. This activity will include, but not be limited to, the following sub-activities: Explain the reasons for selecting the recommended AP groups for integration and simplification, including the number of transactions of these APs. For each AP group, map the current business process and the business process after integration for both offline and online delivery modes. Assess the challenges in implementing the new business process after APs are integrated. Roughly estimate the cost savings due to AP integration and simplification. Draft a report that includes an analysis and recommendations for all selected AP groups and seek comments and feedback from APCA, USAID LinkSME, and other stakeholders. Organize online or on-site consultation meetings/workshops to seek comments and feedback from APCA, USAID LinkSME and relevant stakeholders. Finalize the report, considering all comments and feedback from stakeholders. 	Jan. – March 2023
4	Provide technical assistance to 10 ministries and/or	March – June
	provinces for implementation of integrated AP groups when	

		0000
	they are approved by the Government/Prime Minister.	2023
	This activity will include, but not be limited to, the following	
	sub-activities:	
	 Work with APCA and USAID LinkSME to select 	
	ministries and provinces which are willing to	
	implement the approved integrated APs.	
	 Work with the selected ministries and provinces to 	
	explore their current business process and challenges	
	in implementing relevant integrated APs.	
	 Make recommendations in terms of resources (human 	
	and equipment) and business processes for	
	implementing the relevant integrated APs, and	
	develop an implementation plan.	
	- Draft a report for each ministry/province that contains	
	the above contents	
	 Organize online or on-site consultation 	
	meetings/workshops to seek comments and feedback	
	from ministry/province, USAID LinkSME, and other	
	stakeholders.	
	- Finalize the report, considering the comments and	
	feedback of stakeholders.	
5	Conduct one report on the review of APs that require judicial	
	records in business operations and recommendations for	Feb – June
	integration and/or simplification of those APs.	2023
	This activity will include, but not be limited to, the following	
	sub-activities:	
	- Take stock of all APs that require judicial records as	
	part of the dossier to be submitted for public services	
	related to business activities.	
	 Assess the APs and recommend measures to 	
	integrate and simplify them, especially with regard to	
	the requirement of judicial records.	
	- Draft a report with the above information, analysis,	
	and recommendations; seek comments and feedback	
	from APCA, USAID LinkSME, and other stakeholders.	
	- Organize online or on-site consultation	
	meetings/workshops to seek comments and feedback	
	from APCA, USAID LinkSME and relevant	
	stakeholders.	
	- Finalize the report, considering relevant comments,	
	and feedback of stakeholders.	
	and reedback of stakeholders	

7. Subcontract Type and Deliverables

The arrangement governing the contemplated work and payment therefore will be a **fixed-priced**, **deliverables-based** contract. The list of deliverables for use in

preparing proposals can be found below; costs per each deliverable in the table below (details for each province/ trip) shall be proposed by the Offeror. The Offeror shall propose a timeline for conducting the above activities in a cost-effective manner. Payment will be made based on the achievement of each milestone.

The due dates mentioned in the below table are indicative for the time schedule only, and do not indicate the level of effort the Offeror, which has to be provided within these timeframes while also considering the time required by third parties or stakeholders or USAID LinkSME for reviewing, reacting, approving or other relevant activities.

The selected Offeror will submit the following deliverables:

No.	Deliverables	Estimated date of submission
1	A handbook that contains detailed guidance on the review and recommendation of APs for integration and simplification. This deliverable should meet/include at least the following requirements:	February 2023
	 Current practices of reviewing and simplifying APs, especially inter-connected APs; 	
	 The volume of the inter-connected APs and main mode of delivery (online/offline); 	
	 Indicators for assessing the potential for integration of APs; 	
	 A set of Excel templates to collect data for assessment of AP integration; 	
	 A demonstration of how integrated APs can help reduce the cost and time of public services; 	
	 Compliance with the document format provided by USAID LinkSME; 	
	 At least 30 A4 pages with formatting specifications of the document format; 	
	 An executive summary in Vietnamese and English languages shall be attached to the handbook. 	
	 Relevant inputs from field trips and/or consultations with stakeholders (if any) must be attached. 	
2	A package of training materials and reports. This deliverable should meet/include at least the following requirements:	February 2023

	 Description of the current AP-handling practices procedures of OSS units of ministries and provi regarding the integrated APs, and discrepancies comparison with requirements and procedures specified in the circulars; 	nces
4	Report on review of status and recommendations f each supported ministry and province. Each report deliverable and should meet/include at least the follow requirements:	is a
	 An executive summary in Vietnamese and Engl languages shall be attached to the report. Relevant inputs from field trips and/or consultat with stakeholders (if any) must be attached. 	ions
	 At least 30 A4 pages with formatting specification the document format; 	
	 Compliance with the document format as provid USAID LinkSME; 	led by
	 Rough estimation of cost savings due to AP integrated, and simplification; 	egration
	 both offline and online delivery modes; Assessment of challenges in implementing the business process after APs are integrated; 	new
	 A graphical illustration of the current business p and the business process after integration of AF 	
	 The reasons for selecting the AP groups for inte and simplification, including the number of trans of these APs; 	0
3	A report on the review and recommendations of 8- groups to be integrated. This deliverable should meet/include at least the following requirements:	10 AP June 2023
	 Results of the online survey and/or inputs of the consultations with stakeholders (if any) must be attached. 	
	 Training reports for all training courses about th organization of training, participants, survey res and recommendations. An executive summary Vietnamese and English languages shall be atta to the report. 	ults, in
	 Report on a short online survey to check trained understanding of the training materials; 	
	 Training programs and presentation slides for th (03) training courses (1 or 2 days for each course APCA and USAID LinkSME approval; 	

-	Recommendations for aligning current practices and procedures with the requirements and procedures of the integrated APs as approved:	
-	Implementation plan to change from current practices and procedures to the requirements and procedures of integrated APs as approved;	
-	Compliance with the document format as provided by USAID LinkSME;	
-	At least 20 A4 pages with formatting specifications of the document format;	
-	An executive summary in Vietnamese and English languages shall be attached to each report.	
-	Relevant inputs from field trips and/or consultations with stakeholders (if any) must be attached.	
integ ı delive	ration and/or simplification of those APs. This rable should meet/include at least the following	
-	Method of assessing necessity of the requirement of judicial records in delivery of relevant public services;	
-	Assessment of the APs and recommendations for integration and simplification, especially with regards to the requirement of judicial records;	
-	Compliance with the document format as provided by USAID LinkSME;	
-	At least 40 A4 pages with formatting specifications of the document format;	
-	An executive summary in Vietnamese and English languages shall be attached to the report.	
-	Relevant inputs from field trips and/or consultations with stakeholders (if any) must be attached.	
	in bus integr delive requir - -	 procedures with the requirements and procedures of the integrated APs as approved; Implementation plan to change from current practices and procedures to the requirements and procedures of integrated APs as approved; Compliance with the document format as provided by USAID LinkSME; At least 20 A4 pages with formatting specifications of the document format; An executive summary in Vietnamese and English languages shall be attached to each report. Relevant inputs from field trips and/or consultations with stakeholders (if any) must be attached. One report on review of APs that require judicial records in business operations and recommendations for integration and/or simplification of those APs. This deliverable should meet/include at least the following requirements: List of all APs that require judicial records as part of the dossier to be submitted for public services related to business activities; Method of assessing necessity of the requirement of judicial records in delivery of relevant public services; Assessment of the APs and recommendations for integration and simplification, especially with regards to the requirement of judicial records; Compliance with the document format as provided by USAID LinkSME; At least 40 A4 pages with formatting specifications of the document format; An executive summary in Vietnamese and English languages shall be attached to the report. Relevant inputs from field trips and/or consultations

Minimum requirement: LinkSME will provide the Selected Offeror with a style guide which, for the purposes of formatting and structuring each report, must be followed.

8. Instructions to Potential Offeror

8.1 Submission

- 1. Offers received after the closing date may not be considered.
- 2. Cost proposal must be in VND (with possible reference to US Dollars).
- 3. Technical and cost proposals must be submitted as two separate documents.
- 4. Cost information must not be included in the technical proposal.
- 5. Offerors should submit their proposals in either English or Vietnamese by the closing
- 6. date and time, as listed on page one, to the following: procurement@linksme.org

8.2 Clarifications and Amendments

Potential Offerors may request clarifications in English or Vietnamese via email to procurement@linksme.org. These must be submitted no later than 5:30 PM Hanoi time, on the date specified below. IESC will post answers to the questions and requests for clarification asked by all Offerors and received by the deadline on the IESC website with the RFP before the close of business on the date specified below. IESC may not answer questions before the proposal submission deadline outside of the allotted response period for clarifications. No questions will be answered over the phone or in person.

8.3 Chronological List of Proposed Events

The following calendar summarizes important dates in the solicitation process. Offerors must strictly follow these deadlines:

RFP published	25 October, 2022
Deadline for written questions	28 October, 2022
Answers provided to questions/clarifications	01 November, 2022
Proposal due date	15 November, 2022
Contract award (estimated)	06 December, 2022

9. Cover Page and Markings

In addition to the required proposal documents listed in sections 12, 13, and 17 below, please include a cover page with your submission for the technical and the cost proposals (separate cover pages). The cover page should be on company letterhead and should contain the following information:

- 1. Project or Title (from the front page of this RFP document)
- 2. Bid Reference Number (from the front page of this RFP document)
- 3. Company Name
- 4. Company Address
- 5. Name of Company's authorized representative
- 6. Contact person if different from Company's representative
- 7. Telephone #, Cellular/Mobile Phone #, Email address
- 8. Duration of Validity of proposal
- 9. Total Proposed Price (cover page of cost proposal only)

10. Signature, date, and time

10. Eligibility Requirements

Each Offeror will be required to present a business registration and must have proven experience in conducting the tasks required.

The offeror could be a private company, non-profit organization, or private civil society organization.

Firms operated as commercial companies or other organizations or enterprises (including nonprofit organizations) in which foreign governments or their agents or agencies have a controlling interest are not eligible as suppliers of commodities and services. No governmental, quasi-governmental, or parastatal organizations are eligible to bid on this RFP or to be awarded a contract under this RFP.

Joint proposals are acceptable provided that the technical and cost proposals clearly identify the sub-contractor(s). Award may be contingent upon USAID's final approval.

11. Basis for Award

12. Technical Proposal Evaluation

Please read the following instructions for preparing proposals carefully. Proposals must be organized into sections corresponding to the sections presented in **12.1 Technical Evaluation Criteria** and numbered accordingly. Please stay within the page limits given below.

Only include the requested information and avoid submitting extra content. Any pages exceeding the page limitation for each section of the proposal may not be evaluated. Proposals shall be written in English with each page numbered consecutively. Cover pages, dividers, and tables of contents are not subject to the page limit.

12.1. Technical Evaluation Criteria

Proposals will be evaluated according to the following criteria. Points will also reflect the overall presentation of the proposal, which should be clear, complete, well-organized, and well-written. Most importantly, proposals should address all the requirements listed in this RFP:

[1] Technical and management approach: 5-page limit; possible points: 40.

Proposals will be scored on the demonstration of the technical expertise and capabilities to conduct activities and deliver the deliverables as outlined in **Section**

6.2 and Section 7 above, and will be reviewed based on:

- Description of methodologies and implementation steps to complete the assignment.
- Described highlights on the current status of integration and/or simplification of APs, including those that require judicial records as part of the dossier to be submitted for public services related to business operations.
- Description of method to obtain information for validation of the related reports/assessment, including consultation workshops and field surveys.
- Work plan to implement activities, listing the steps and timelines for each.

[2] Offeror's past performance and references: 2-page limit (not including samples of previous work, which may be attachments); possible points: 20.

The proposal must provide a detailed account of the Offeror's record in implementing similar activities to those outlined in the tasks and activities. This should include sufficient information to demonstrate the Offeror's methodology and skills are based on extensive prior experience in conducting assessment reports and training.

Offerors should also provide a minimum of three (3) references for past and present clients for which the Offeror has completed a similar task. References must include contact information; it is noted that USAID LinkSME reserves the right to verify references.

[3] Offeror's Personnel Experience and Capacities: 3-page limit (not including resumes or CVs, which are attachments); possible points: 30.

The technical proposal must include a description (biographical sketch acceptable) of at least two, but not more than five, personnel who would directly work on this assignment. Resumes or CVs must be submitted as attachments for individuals and do not count within the page limitations of this section.

This section will be marked on the extent to which the Offeror or its personnel have experience in the design and implementation of relevant regulatory reviews.

[4] Local knowledge: 2-page limit; possible points: 10.

The technical proposal must demonstrate knowledge of the environment in which the activity will take place and their experience working with GVN entities and the

business community. The Offerors demonstrated experience and capabilities in communicating and working broadly and efficiently with a network of line ministries, provinces, enterprises, and business associations should also be highlighted in this section.

13. Cost Proposal Evaluation

The Offeror shall submit a separate cost proposal that includes the project cost of performing the activities as described above. These costs should be broken down to show the separate cost of each deliverable and the total cost for all activities and deliverables. The total cost of the activities must be included in a summary sheet. As noted above, IESC will pay a fixed price per approved completion of each deliverable listed in Section 7. Subcontract Type and Deliverables.

All proposed costs must be in accordance with the U.S. Government Cost Principles under FAR Part 31.

The cost proposal must include:

- 1. A spreadsheet that lists each deliverable per Section 7. Subcontract Type and Deliverables and the proposed payment price for each deliverable.
- 2. The Offeror must provide either a detailed budget showing major line items such as salaries, allowances, travel costs, other direct costs, or Activity budgets. The budget must show unit prices, quantities, and total price.
- 3. The cost proposal shall also include a budget narrative that explains the basis for the estimation of cost elements or line items. Supporting information must be provided in sufficient detail and include unit cost information to allow for a complete analysis of each cost element or item. IESC shall request additional cost information if the Evaluation Committee has concerns about the reasonableness, necessity, and realism of an offeror's proposed cost.
- 4. If it is an offeror's regular practice to budget an indirect rate for overhead costs, the Offeror must explain the rate and the rate's base of application in the budget.

14. Source of Funding, Authorized Geographic Code, and Source of Origin

Any subcontract resulting from this RFP will be financed with USAID funding and will be subject to U.S. Government and USAID regulations.

All goods and services offered in response to this RFP or supplied under any resulting award must meet USAID Geographic Code 937 in accordance with the United States Code of Federal Regulations (CFR), 22 CFR §228, available at:

http://www.gpo.gov/fdsys/pkg/CFR- 2012-title22-vol1/pdf/CFR-2012-title22-vol1-

part228.pdf.

The cooperating country for this RFP is the Socialist Republic of Vietnam.

Offerors must not offer or supply any products, commodities, or related services that are manufactured or assembled in, shipped from, transported through, or otherwise involving any of the following countries: Cuba, Iran, North Korea, (North) Sudan, Syria.

Related services include incidental services pertaining to any/all aspects of this work to be performed under a resulting contract (including transportation, fuel, lodging, meals, and communications expenses).

Offerors also must not offer or supply telecommunications equipment or services prohibited by Section 889 of the U.S. Government's National Defense Authorization Act (NDAA). The list of prohibited companies as defined by the U.S. Government under Section 889 is as follows:

- Huawei Technologies Company
- ZTE Corporation
- Hytera Communications Corporation
- Hangzhou Hikvision Digital Technology Company
- Dahua Technology Company
- And associated subsidiaries or affiliates

15. Deviations

IESC reserves the right to waive any deviations by offerors from the requirements of this solicitation that in IESC's opinion are considered not to be material defects requiring rejection or disqualification; or where such a waiver will promote increased competition.

16. Discrepancies

Please read the instructions carefully before submitting your proposal. Any discrepancy in following the instructions or award provisions may disqualify your proposal without recourse or an appeal for reconsideration at any stage.

17. Conflict of Interest Declaration

A duly authorized representative of the Offeror shall complete, sign, and submit the Conflict of Interest Declaration found in Attachment A. The completed declaration must be submitted with the technical proposal.

18. Award Selection Process

The following steps outline IESC's award selection process and should be understood

by all Offerors to ensure the transparency of awards and avoid conflict of interest.

- 1. Requests for Proposals (RFPs) are posted on IESC's website. The offer is open to all qualified Offerors;
- 2. Clarifications will be emailed to all offerors submitting questions, as well as posted on IESC's website, simultaneously;
- 3. Once the proposals are received, an evaluation committee scores them;
- 4. Cost proposals are evaluated for reasonableness, accuracy, and completeness;
- 5. The best value proposal is selected based on a combination of the technical score and the cost; and
- 6. No activity can be started until USAID has approved the appointment of the subcontract if necessary and both IESC and the awardee have signed a formal contract.

19. Ongoing Obligations and Integrity

The selected offeror will be required to comply with all administrative standards and provisions required by USAID.

IESC policy against fraud and code of business ethics exists throughout the life of the sub-award and beyond. Even if the sub-award is closed or has expired, if any party is found guilty of fraud, IESC will make a full report to the USAID Office of Inspector General, which may choose to investigate and prosecute guilty parties to the fullest extent of the law.

Attachment A

Disclosure Form

No application to serve as a subcontractor to IESC under this RFP will be considered unless the Offeror makes the disclosures below.

Why are these disclosures necessary?

Pursuant to the Project Implementation Manual for the USAID LinkSME Project, IESC must encourage a "competitive method of the subcontractor selection process." During the implementation of the subcontract, IESC needs to ensure that the engaged subcontractor: (i) has been appointed in a fair, transparent and competitive manner; (ii) is not subject to any improper influence that may compromise its ability to produce work in good faith, to exercise independent judgment, or that calls into question the legitimacy and credibility of its work product; and (iii) produces deliverables that can be fairly and professionally evaluated free of any suggestion that the evaluation is subject to improper influence.

What disclosures must be made?

Offerors must disclose any relationship that:

- Indicates or suggests that the Offeror may have influence over employees of USAID LinkSME or its implementing partner so that its selection as a subcontractor is not and is not seen to be unfair or improper in any way.
- Indicates or suggests that the Offeror may be influenced by employees of USAID LinkSME, or its implementing partners so that its independent judgment or the credibility of its deliverables is or could be undermined or compromised in any way; and
- Indicates or suggests that the Offeror may have influence over employees of USAID LinkSME or its implementing partners so that the review of its performance or the acceptance of its deliverables is, or is seen to be, unfair or improper in any way.

What happens if a disclosure is made?

Not all disclosures will result in disqualification. IESC will consider each disclosure to determine the nature of the relationship disclosed, the extent to which it may influence a person, and the nature of the influence itself. In doing so the IESC may consider among other things, the duration of the relationship, whether it has a personal or financial element to it, and whether any mitigation measures might be



put in place to limit the harm or potential harm that may arise in light of the disclosure.

What happens if a necessary disclosure is not made?

If IESC becomes aware of a relationship between the Offeror or any of its officers or employees that ought to have been disclosed by an Offeror and the Offeror has failed to do so, the IESC may:

- Disqualify the Offeror from the selection process no matter if the conflict was capable of mitigation or not; or
- Terminate any related subcontract and withhold any outstanding payments.

In such cases, the disqualification of an Offeror or the early termination of a subcontract, may be publicly disseminated which may have a negative impact on the Offeror's reputation. It is therefore in the best interests of each Offeror to make a full and comprehensive disclosure so that any questions regarding the validity of the Offeror's selection or the credibility of any subcontractor's work product are dealt with upfront, in a transparent, fair and amicable manner.



Disclosure Declaration

FIRM:

Date:

The following disclosure items for review and discussion are consistent with IESC's Ethics Policy and Procedure and Code of Business Ethics and Conduct (COBEC):

Disclosure Item			Response
Ι.		Does any employee of USAID LinkSME or of the Administrative Procedures Control Agency under the Office of Government:	
	1.	own any stock or have any other interest in the financial performance of your firm?	□Yes □No
	2.	have any financial or business interest in, including any right or claim to payment from, your firm?	□Yes □No
	3.	serve as an officer, director, employee, consultant, agent or partner of your firm?	□Yes □No
	4.	provide services to your firm?	□Yes □No
	5.	have any debts owed or payable to your firm?	□Yes □No



	If you have answered YES to any questions in Part I above, please provide an explanation including:		
• • • • • • • •	Name(s) of employee(s): Position(s): Nature of the financial interest(s): Value of the financial interest(s): Disclosed person(s) involved in the preparation of the Offer? □Yes □No Disclosed person(s) to be involved in the expected scope of work in the subcontract? □Yes □No		
11.	Has any employee or former employee of USAID LinkSME or of the Administrative Procedures Control Agency under the Office of Government:		
1.	been offered or promised any employment at, stock or ownership in, or other financial benefit from your firm within the previous 12 months?	⊡Yes ⊡No	
2.	been hired by the Offeror within the last 12 months?	□Yes □No	
	have answered YES to any question in Part II above, please e an explanation including:		
•	Name of employee Position previously held (if applicable) Position currently held (if applicable) Disclosed person(s) involved in the preparation of the Offer? □Yes □No Disclosed person(s) involved in the expected scope of work in the subcontract? □Yes □No		



111.	Is any officer, employee, consultant, or contractor of your firm who is involved in the preparation of your Offer, or may be involved in the provision of services to IESC under any subcontract:			
1.	related, whether by birth, adoption, marriage, or de facto life partnership to any employee of USAID LinkSME?	⊡Yes ⊡No		
2.	related, whether by birth, adoption, marriage, or de facto life partnership to any employee of Agency for Enterprise Development (AED) under the Ministry of Planning and Investment (MPI)?	□Yes □No		
consu is mac has ta If you	NOTE: You are required to consult with relevant officers, employees, consultants or contractors of your firm to ensure that a full disclosure s made. IESC reserves the right to verify whether such consultation has taken place. If you have answered YES to any question in Part III above, please provide an explanation including:			
• • • •	Name of employee(s) Name of family relation(s) Nature of relationship(s) Position of employee(s) Position of family relation(s) Disclosed person(s) involved in the preparation of the Offer? □Yes □No Disclosed person(s) involved in the expected scope of work in the subcontract? □Yes □No			
USAI	D LinkSME mitigation comments:			
Senic	or Management comments (if referred):			

I, the undersigned, am duly authorized to make the disclosures on behalf of the undermentioned firm and acknowledge that in doing so the disclosures given herein are complete and accurate and that all necessary consultations with relevant officers, employees, consultants and contractors of the firm have been undertaken.



Duly Authorized Signatory of [INSERT NAME OF FIRM]

Reviewer Signature

Date

Date

Senior Management Signature (only if referred) Date



Attachment B

U.S. Government Flow-Down Contract Clauses Notice Listing Contract Clauses Incorporated by Reference

The following contract clauses pertinent to this section are hereby incorporated by reference as required by U.S. Government public contracting regulations. This list is subject to change as appropriate; for example, depending on final negotiated amount of subcontract (if less than \$250,000) and proposed technical approaches, some FAR and/or AIDAR clauses may be deleted.

NUMBER	TITLE	DATE
52.202-1	DEFINITIONS	NOV 2013
52.203-3	GRATUITIES	APR 1984
52.203-5	COVENANT AGAINST CONTINGENT FEES	MAY 2014
52.203-6	RESTRICTIONS ON SUBCONTRACTOR SALES TO	SEP 2006
	THE GOVERNMENT	
52.203-7	ANTI-KICKBACK PROCEDURES	MAY 2014
52.203-8	CANCELLATION, RESCISSION, AND RECOVERY	MAY 2014
	OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY	
52.203-10	PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR	MAY 2014
	IMPROPER ACTIVITY	
52.203-12	LIMITATION ON PAYMENTS TO INFLUENCE	OCT 2010
	CERTAIN FEDERAL TRANSACTIONS	
52.203-13	CONTRACTOR CODE OF BUSINESS ETHICS AND	OCT 2015
	CONDUCT	
52.203-17	CONTRACTOR EMPLOYEE WHISTLER BLOWER	APR 2014
	RIGHTS	

FEDERAL ACQUISITION REGULATION (48 CFR Chapter 1)

AND REQUIREMENT TO INFORM EMPLOYEES OF WHISTLEBLOWER RIGHTS

52.204-4	PRINTED OR COPIED DOUBLE-SIDED ON	MAY 2011
	RECYCLED PAPER	
52.204-7	CENTRAL CONTRACTOR REGISTRATION	APR 2008
52.204-8	ANNUAL REPRESENTATIONS AND CERTIFICATIONS	NOV 2011
52.204-10	REPORTING EXECUTIVE COMPENSATION AND	
	FIRST	
	TIER SUBCONTRACT AWARDS	OCT 2015
52.204-23	PROHIBITION ON CONTRACTING FOR HARDWARE,	JUL 2018

	SOFTWARE, AND SERVICES DEVELOPED OR	
	PROVIDED	
	BY KASPERSKY LAB AND OTHER COVERED	
	ENTITIES	
52.204-25	PROHIBITION ON CONTRACTING FOR CERTAIN	
	TELECOMMUNICATIONS AND VIDEO	AUG 2020
	SURVEILLANCE SERVICES OR EQUIPMENT	
52.209-6	PROTECTING THE GOVERNMENT'S INTEREST	
		OCT 2015
	WHEN SUBCONTRACTING WITH CONTRACTORS	
	DEBARRED, SUSPENDED, OR PROPOSED	
	FOR DEBARMENT	

FEDERAL ACQUISITION REGULATION (48 CFR Chapter 1) (continued)

NUMBER	TITLE	DATE
52.215-2	AUDIT AND RECORDSNEGOTIATION	OCT 2010
52.215-8	ORDER OF PRECEDENCEUNIFORM CONTRACT	OCT 1997
	FORMAT (Subcontractor must use this order of	
	precedence in	
	any lower-tier subcontracts)	
52.215-14	INTEGRITY OF UNIT PRICES	OCT 2010
52.215-19	NOTIFICATION OF OWNERSHIP CHANGES	OCT 1997
52.215-23	LIMITATIONS ON PASS THROUGH CHARGES	OCT 2009
52.216-7	ALLOWABLE COST AND PAYMENT	OCT 1995
52.217-2	CANCELLATION OF MULTIYEAR CONTRACTS	OCT 1997
52.222-2	PAYMENT FOR OVERTIME PREMIUMS (\$0 authorized	<i>l)</i> JUL 1990
52.222-50	COMBATING TRAFFICKING IN PERSONS	MAR 201
52.223-18	ENCOURAGING CONTRACTOR POLICIES TO BAN	
	TEXT	
	MESSAGING WHILE DRIVING	AUG 2011
52.225-13	RESTRICTIONS ON CERTAIN FOREIGN	JUN 2008
	PURCHASES	
52.227-14	RIGHTS IN DATA—GENERAL	MAY 2014
52.228-3	WORKERS' COMPENSATION INSURANCE	JUL 2014
	(DEFENSE BASE ACT)	
52.228-7	INSURANCE – LIABILITY TO THIRD PERSONS	MAR 1996
52.232-17	INTEREST	MAY 2014
52.232-18	AVAILABILITY OF FUNDS	APR 1984
52.232-23	ASSIGNMENT OF CLAIMS	MAY 2014
52.232-39	UNENFORCEABILITY OF UNAUTHORIZED	JUN 2013
	OBLIGATIONS	
52.233-1	DISPUTES	MAY 2014
52.233-3	PROTEST AFTER AWARD	AUG 1996
52.233-4	APPLICABLE LAW FOR BREACH OF CONTRACT	OCT 2004
	CLAIM	

NUMBER	TITLE	DATE
52.242-1	NOTICE OF INTENT TO DISALLOW COSTS	APR 1984
52.242-13	BANKRUPTCY	JUL 1995
52.244-2	SUBCONTRACTS	OCT 2010
	ALTERNATE I	JUN 2007
52.244-5	COMPETITION IN SUBCONTRACTRING	DEC 1996
52.244-6	SUBCONTRACTS FOR COMMERCIAL ITEMS	SEP 2016
52.245-1	GOVERNMENT PROPERTY	APR 2012
52.245-9	USE AND CHARGES	APR 2012
52.246-23	LIMITATION OF LIABILITY	FEB 1997
52.247-63	PREFERENCE FOR U.SFLAG AIR CARRIERS	JUN 2003
52.249-14	EXCUSABLE DELAYS	APR 1984
52.253-1	COMPUTER GENERATED FORMS	JAN 1991

FEDERAL ACQUISITION REGULATION (48 CFR Chapter 1) (CONTINUED)

NUMBER	TITLE	DATE
752.202-1(B)	USAID DEFINITIONS CLAUSE - GENERAL	JAN 1990
	SUPPLEMENT	
	FOR USE IN ALL USAID CONTRACTS (ALTERNATE 70)	
752.202-	USAID DEFINITIONS CLAUSE – SUPPLEMENT FOR	JUN 2009
1(D)	USAID	
	CONTRACTS INVOLVING PERFORMANCE OVERSEAS	
	(ALTERNATE 72)	
752.204-2	SECURITY REQUIREMENTS	FEB 1999
752.211-70	LANGUAGE AND MEASUREMENT	JUN 1992
752.227-14	RIGHTS IN DATA	OCT 2007
752.228-3	WORKERS'COMPENSATION INSURANCE	DEC 1991
	(DEFENSE BASE ACT)	
752.228-7	INSURANCE – LIABILITY TO THIRD PERSONS	JUL 1997
I.2. AIDAR	48 CFR Chapter 7	
752.228-9	CARGO INSURANCE	DEC 1998
752.231-	SALARY SUPPLEMENTS FOR HG EMPLOYEES	MAR 2015
71		
752.245-	GOVERNMENT PROPERTY-USAID	JUL 1997
70		
	REPORTING REQUIREMENTS	
752.245-	TITLE TO AND CARE OF PROPERTY	APR 1984
71		
752.247-	PREFERENCE FOR PRIVATELY OWNED U.S. FLAG	OCT 1996
70		
	COMMERCIAL VESSELS	
752.7005	SUBMISSION REQUIREMENTS FOR DEVELOPMENT	SEP 2013

	EXPERIENCE DOCUMENTS	
752.7008	USE OF GOVERNMENT FACILITIES OR	APR 1984
	PERSONNEL	
752.7010	CONVERSION OF U.S. DOLLARS TO LOCAL	APR 1984
	CURRENCY (IESC to provide Mission Director directives	
	to Subcontractor)	
752.7013	CONTRACTOR-MISSION RELATIONSHIPS	OCT 1989
752.7018	HEALTH AND ACCIDENT COVERAGE FOR	JAN 1999
	USAID PARTICIPANT TRAINEES	
752.7019	PARTICIPANT TRAINING	JAN 1999
752.7023	REQUIRED VISA FORM FOR USAID PARTICIPANTS	APR 1984
752.7025	APPROVALS	APR 1984
752.7034	ACKNOWLEDGEMENT AND DISCLAIMER	DEC 1991
752.7035	PUBLIC NOTICES	DEC 1991
752.7038	NONDISCRIMINATION AGAINST END-USERS OF	OCT 2016
	SUPPLIES AND SERVICES	

FAR 52.204-1 APPROVAL OF CONTRACT (DEC 1989)

This subcontract may be subject to the written approval of the Contracting Officer.

(End of clause)

AIDAR 752.209-71 ORGANIZATIONAL CONFLICTS OF INTEREST DISCOVERED AFTER AWARD (JUN 1993)

- The Contractor agrees that, if after award it discovers either an actual or potential organizational conflict of interest with respect to this contract, it shall, subject to any confidentiality obligation owed to other parties, make an immediate and full disclosure in writing to the Contracting Officer which shall include a description of the action(s) which the Contractor has taken or proposes to take to avoid, eliminate or neutralize the conflict.
- 2. The Contracting Officer shall provide the contractor with written instructions concerning the conflict. USAID reserves the right to terminate the contract if such action is determined to be in the best interests of the Government.

(End of clause)

AIDAR 752.242-70 PERIODIC PROGRESS REPORTS (OCT 2007)

1. The Contractor shall prepare and submit progress reports as specified in the contract schedule. These reports are separate from the interim and final performance evaluation reports prepared by USAID in accordance with (48 CFR) FAR 42.15 and internal Agency procedures, but they may be used by USAID personnel or their authorized representatives when evaluating the

contractor's performance.

2. During any delay in furnishing a progress report required under this contract, the contracting officer may withhold from payment an amount not to exceed US\$25,000 (or local currency equivalent) or 5 percent of the amount of this contract, whichever is less, until such time as the contractor submits the report or the contracting officer determines that the delay no longer has a detrimental effect on the Government's ability to monitor the contractor's progress.

(End of clause)

AIDAR 752.7013 CONTRACTOR-MISSION RELATIONSHIPS (JUNE 2018) (M/OAA-DEV-AIDAR-18-04c)

- The Contractor acknowledges that this contract is an important part of the United States Foreign Assistance Program and agrees that its operations and those of its employees in the Cooperating Country will be carried out in such a manner as to be fully commensurate with the responsibility, which this entails. This responsibility includes the Contractor ensuring that employees act in a manner consistent with the standards for United Nations (UN) employees in Section 3 of the UN Secretary General's Bulletin - Special Measures for Protection from Sexual Exploitation and Sexual Abuse (ST/SGB/2003/13).
- 2. The Mission Director is the chief representative of USAID in the Cooperating Country. In this capacity, the Mission Director is responsible for both the total USAID program in the cooperating country including certain administrative responsibilities set forth in this contract, and for advising USAID regarding the performance of the work under the contract and its effect on the United States Foreign Assistance Program. Although the Contractor will be responsible for all professional, technical, and

administrative details of the work called for by the contract, it must be under the guidance of the Mission Director in matters relating to foreign policy. The Chief of Party must keep the Mission Director currently informed of the progress of the work under the contract.

- 3. If the Contractor determines that the conduct of any employee is not in accordance with the preceding paragraphs, the Contractor's Chief of Party must consult with the USAID contracting officer and the Mission Director and the employee involved and must recommend to the Contractor a course of action with regard to such employee.
- 4. The parties recognize the rights of the U.S. Ambassador to direct the removal from a country of any U.S. citizen or the discharge from this contract of any individual (U.S., third-country, or cooperating-country national) when, at the discretion of the Ambassador, the interests of the United States so require. Under these circumstances termination of an employee and replacement by an acceptable substitute must be at no cost to USAID.
- 5. If it is determined, under paragraphs (c) and (d) above, that the services of such employee must be terminated, the Contractor must use its best efforts to cause the return of such employee to the United States or third country

point of origin as appropriate.

(End of clause)

AIDAR 752.7025 APPROVALS (APR 1984)

All approvals required to be given under the contract by the Contracting Officer or the Mission Director shall be in writing and, except when extraordinary circumstances make it impracticable, shall be requested by the Contractor sufficiently in advance of the contemplated action to permit approval, disapproval or other disposition prior to that action. If, because of existing conditions, it is impossible to obtain prior written approval, the approving official may, at his discretion, ratify the action after the fact.(End of clause)

AIDAR 752.7101 VOLUNTARY POPULATION PLANNING ACTIVITIES (JUNE 2008)

- 1. Requirements for Voluntary Sterilization Program. None of the funds made available under this Contract shall be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any individual to practice sterilization.
- 2. Prohibition on Abortion-Related Activities.
 - 1. No funds made available under this Contract will be used to finance, support, or be attributed to the following activities: (i) procurement or distribution of equipment intended to be used for the purpose of inducing abortions as a method of family planning; (ii) special fees or incentives to any person to coerce or motivate them to have abortions; (iii) payments to persons to perform abortions or to solicit persons to undergo abortions; (iv) information, education, training, or communication programs that seek to promote abortion as a method of family Planning ; and (v) lobbying for or against abortion. The term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options.
 - 2. No funds made available under this Contract will be used to pay for any biomedical research which relates, in whole or in part, to methods of, or the performance of, abortions or involuntary sterilizations as a means of family planning. Epidemiologic or descriptive research to assess the incidence, extent or consequences of abortions is not precluded.
- (c) The Contractor shall insert this provision in all subcontracts.

(End of clause)

AIDAR 752.222-71 NONDISCRIMINATION (JUNE 2012)

FAR part 22 and the clauses prescribed in that part prohibit contractors performing in or recruiting from the U.S. from engaging in certain discriminatory practices.

USAID is committed to achieving and maintaining a diverse and representative workforce and a workplace free of discrimination. Based on law, Executive Order, and Agency policy, USAID prohibits discrimination in its own workplace on the basis of race, color, religion, sex (including pregnancy and gender identity), national origin, disability, age, veteran's status, sexual orientation, genetic information, marital status, parental status, political affiliation, and any other conduct that does not adversely affect the performance of the employee. USAID does not tolerate any type of discrimination (in any form, including harassment) of any employee or applicant for employment on any of the abovedescribed bases.

Contractors are required to comply with the nondiscrimination requirements of the FAR. In addition, the Agency strongly encourages all its contractors (at all tiers) to develop and enforce nondiscrimination policies consistent with USAID's approach to workplace nondiscrimination as described in this clause, subject to applicable law.

(End of clause)

AIDAR 752.231-72 CONFERENCE PLANNING AND REQUIRED APPROVALS (AUG 2013)

- Definitions. Conference means a seminar, meeting, retreat, symposium, workshop, training activity or other such event that requires temporary duty travel of USAID employees. For the purpose of this policy, an employee is defined as a U.S. direct hire; personal services contractor, including U.S. PSCs, Foreign Service National (FSN)/Cooperating Country National (CCN) and Third Country National (TCN); or a Federal employee detailed to USAID from another government agency. The contractor must obtain approval from the contracting officer or the contracting officer's representative (COR), if delegated in the Contracting Officer's Representative Designation Letter, as prescribed in 731.205-43, prior to committing costs related to conferences funded in whole or in part with USAID funds when:
 - 1. Twenty (20) or more USAID employees are expected to attend.
 - 2. The net conference expense funded by USAID will exceed \$100,000 (excluding salary of employees), regardless of the number of USAID participants.
- 3. Conferences approved at the time of award will be incorporated into the award. Any subsequent requests for approval of conferences must be submitted by the contractor to the USAID contracting officer representative (COR). The contracting officer representative will obtain the required agency approvals and communicate such approvals to the contractor in writing.
- 4. The request for conference approval must include:

- 1. A brief summary of the proposed event;
- 2. A justification for the conference and alternatives considered, e.g., teleconferencing and videoconferencing;
- 3. The estimated budget by line item (e.g., travel and per diem, venue, facilitators, meals, equipment, printing, access fees, ground transportation);
- 4. A list of USAID employees attending and a justification for each; and the number of other USAID- funded participants (e.g., institutional contractors);
- 5. The venues considered (including government-owned facility), cost comparison, and justification for venue selected if it is not the lowest cost option;
- 6. If meals will be provided to local employees (a local employee would not be in travel status), a determination that the meals are a necessary expense for achieving Agency objectives; and
- 7. A certification that strict fiscal responsibility has been exercised in making decisions regarding conference expenditures, the proposed costs are comprehensive and represent the greatest cost advantage to the U.S. Government, and that the proposed conference representation has been limited to the minimum number of attendees necessary to support the Agency's mission.

(End of clause)

AIDAR 752.7036 IMPLEMENTING PARTNER NOTICES (IPN) FOR ACQUISITION

This is not applicable to the Subcontractor.

AIDAR 752.7037 CHILD SAFEGUARDING STANDARDS (AUG 2016)

- 1. Implementation of activities under this award may involve children, or personnel engaged in the implementation of the award may come into contact with children, which could raise the risk of child abuse, exploitation, or neglect within this award. The contractor agrees to abide by the following child safeguarding core principles:
 - 1. Ensure compliance with host country and local child welfare and protection legislation or international standards, whichever gives greater protection, and with U.S. law where applicable;
 - 2. Prohibit all personnel from engaging in child abuse, exploitation, or neglect;
 - 3. Consider child safeguarding in project planning and implementation to determine potential risks to children that are associated with project activities and operations;
 - 4. Apply measures to reduce the risk of child abuse, exploitation, or neglect, including, but not limited to, limiting unsupervised interactions with children; prohibiting exposure to pornography; and complying with applicable laws, regulations, or customs regarding the photographing, filming, or other image generating activities of children;

- 5. Promote child-safe screening procedures for personnel, particularly personnel whose work brings them in direct contact with children; and
- 6. Have a procedure for ensuring that personnel and others recognize child abuse, exploitation, or neglect; mandating that personnel and others report allegations; investigating and managing allegations; and taking appropriate action in response to such allegations, including, but not limited to, dismissal of personnel.
- 2. The contractor must also include in the code of conduct for all personnel implementing USAID-funded activities, the child safeguarding principles in paragraphs (a)(1) through (6) of this clause.
- 3. The following definitions apply for purposes of this clause:
 - 1. Child. A child or children are defined as persons who have not attained 18 years of age.
 - 2. Child abuse, exploitation, or neglect. Constitutes any form of physical abuse; emotional ill- treatment; sexual abuse; neglect or insufficient supervision; trafficking; or commercial, transactional, labor, or other exploitation resulting in actual or potential harm to the child's health, well-being, survival, development, or dignity. It includes, but is not limited to: Any act or failure to act which results in death, serious physical or emotional harm to a child, or an act or failure to act which presents an imminent risk of serious harm to a child.
 - 3. Emotional abuse or ill treatment. Constitutes injury to the psychological capacity or emotional stability of the child caused by acts, threats of acts, or coercive tactics. Emotional abuse may include, but is not limited to: Humiliation, control, isolation, withholding of information, or any other deliberate activity that makes the child feel diminished or embarrassed.
 - 4. Exploitation. Constitutes the abuse of a child where some form of remuneration is involved or whereby the perpetrators benefit in some manner. Exploitation represents a form of coercion and violence that is detrimental to the child's physical or mental health, development, education, or well- being.
 - 5. Neglect. Constitutes failure to provide for a child's basic needs within USAID-funded activities that are responsible for the care of a child in the absence of the child's parent or guardian.
 - 6. Physical abuse. Constitutes acts or failures to act resulting in injury (not necessarily visible), unnecessary or unjustified pain or suffering without causing injury, harm or risk of harm to a child's health or welfare, or death. Such acts may include, but are not limited to: Punching, beating, kicking, biting, shaking, throwing, stabbing, choking, or hitting (regardless of object used), or burning. These acts are considered abuse regardless of whether they were intended to hurt the child.
 - 7. Sexual abuse. Constitutes fondling a child's genitals, penetration, incest, rape, sodomy, indecent exposure, and exploitation through prostitution or the production of pornographic materials.
- (d) The contractor must insert this clause in all subcontracts under this award.

(End of clause)

FAR 52.203-99 PROHIBITION ON CONTRACTING WITH ENTITIES THAT REQUIRE CERTAIN INTERNAL CONFIDENTIALITY AGREEMENTS (APR 2015)

- 1. The Contractor shall not require employees or subcontractors seeking to report fraud, waste, or abuse to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or subcontractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.
- 2. The contractor shall notify employees that the prohibitions and restrictions of any internal confidentiality agreements covered by this clause are no longer in effect.
- 3. The prohibition in paragraph (a) of this clause does not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a Federal department or agency governing the nondisclosure of classified information.
- 4. (1) In accordance with section 7 43 of Division E, Title VI I, of the Consolidated and Further Continuing Resolution Appropriations Act, 2015 (Pub. L. 113-235), use of funds appropriated (or otherwise made available) under that or any other Act may be prohibited, if the Government determines that the Contractor is not in compliance with the provisions of this clause.

(2) The Government may seek any available remedies in the event the contractor fails to comply with the provisions of this clause.

(End of clause)